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METHOD AND SYSTEM FOR EXPANDING A WORD GRAPH TO A PHONE GRAPH BASED ON A CROSS-WORD ACOUSTICAL MODEL TO IMPROVE CONTINUOUS SPEECH RECOGNITION

## FIELD OF THE INVENTION

The present invention relates generally to speech processing and to automatic speech recognition (ASR) systems. More particularly, the present invention relates to a method and system for expanding a word graph to a phone graph based on a crossword acoustical model to improve continuous speech recognition.

# **BACKGROUND OF THE INVENTION**

A continuous speech recognition system recognizes a collection of continuous spoken words ("speech") into recognized phrases or sentences. A spoken word typically includes one or more phones or phonemes, which are distinct sounds of a spoken word. Thus, to recognize continuous speech, a speech recognition system must maintain relationships between the words in the continuous speech. A common way of maintaining relationships between words is using a word graph. A word graph includes a plurality of word nodes to form a net or lattice. Each word node represents a unit word and the net or lattice maintains the relationships between the unit words.

FIGS. 1A and 1B are prior art word graphs based on a within word acoustical model and a cross-word acoustical model (i.e., a model in which word is related to a word before and after). FIG. 1A is a prior art word graph 100 based on the within word acoustical model. Referring to FIG. 1A, the prior word graph 100 includes a plurality of word nodes 101 ("A") through 106 ("F") connected by edges of a lattice. The lattice maintains the relationships between words. Each word node represents one pronunciation of a word, which is typically referred to as a phone list. Word nodes can also represent triphone lists, which are pronunciations having a right and left context. A common use of triphones is with the hidden markov models (HMM). The HMM are common models for speech recognition.

The word graph 100 can be based on a particular task, e.g., a task for describing the weather. For example, node 101 (A) can represent the word "cloudy," nodes 102 (B) and nodes 103 (C) can represent the words "very" and "partly," respectively, and nodes 104 (D), 105 (E), and 106 (F) can represent the words "yesterday," "today," and "tomorrow," respectively. Thus, for example, graph 100 can be used to recognize

continuous speech having the words "very cloudy today." In this example, word graph 100 maintains the relationships between word node 101 (A) with word nodes 102 (B) through 106 (F) to recognize continuous speech.

A disadvantage of using word graph 100 based on the within word model is that it does not account for different variations in which a word can be pronounced. That is, pronunciations can vary, e.g., from person to person, from dialect to dialect, or from context to context. Thus, the word graph 100 is prone to a high speech recognition error rate.

FIG. 1B is a prior art word graph 150 based on the cross-word acoustical model. The word graph 150 based on the cross-word acoustical model is derived from the word graph 100 based on the within word acoustical model. The word graph 150 provides improved recognition accuracy than prior word graph 100 by accounting for co-articulation effects between words. Referring to FIG. 1B, word graph 150 includes a plurality of word nodes 101a ((B)A(D)) through 101f ((C)A(F)) and word nodes 102 (B) through 106 (F). The word nodes represented by word nodes 101a through 101f are copies of the word node A with varying left contexts and right contexts. For example, word node 101a provides a left context (B) and a right context (D) for the word A.

A disadvantage of using word graph 150 based on the cross-word acoustical model is that it requires multiple copies of a word node. That is, word node (A) in word graph 150, is required to account for the variations for word node (A). For example, referring to word graph 150, word node 101a ((B)A(D)) refers to one copy of node A under the left context B and right context D and word node 101f refers to another coy of the node A under the left context C and right context F. If, for example, word node (A) included 5 phones, then 6 copies of word node A would be required according to its context and 30 internal phones will be generated for word A (e.g.,  $BA_1$ ,  $A_2$ ,  $A_3$ ,  $A_4$ ,  $A_5$ D,  $BA_1$ ,  $A_2$ ,  $A_3$ ,  $A_4$ ,  $A_5$ E . . .). As such, a word graph based on the crossword acoustical model can consume large amounts of memory. Furthermore, computation for continuous speech recognition is increased significantly because of using such large word graphs.

## BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example and not intended to be limited by the figures of the accompanying drawings, in which like references indicate similar elements and in which:

- FIG. 1A is a prior art word graph based on a within word acoustical model;
- FIG. 1B is a prior art word graph based on a cross-word acoustical model;
- FIG. 2 is an illustration of an exemplary digital processing system in which the present invention can be practiced;
  - FIG. 3A is an exemplary phone graph;
- FIG. 3B is an exemplary phone graph based on a cross-word acoustical model; and
- FIG. 3C is an exemplary phone graph based on a clustered cross-word acoustical model.
- **FIG. 4** is a block diagram of a speech recognition system according to one embodiment;
- FIG. 5 is a flow chart illustrating a speech recognition operation according to one embodiment;
- FIG. 6 is a functional flow diagram illustrating a speech recognition process according to one embodiment; and
- FIG. 7 is a flow chart illustrating an exemplary operation to expand a word graph to a phone graph.

## **DETAILED DESCRIPTION**

A method and system to improve continuous speech recognition are described. An unknown speech signal is received. A word graph is generated based on an application task or based on information extracted from the unknown speech signal. The word graph is expanded into a phone graph. The unknown speech signal is recognized using the phone graph. The phone graph can be based on a cross-word acoustical model to improve continuous speech recognition.

The speech processing techniques described herein can reduce the size of graphs used for continuous speech recognition and reduce speech recognition error rate. By expanding a word graph into a phone graph, the phone graph can consume less

memory space and decoding computation cost can be reduced greatly by using the phone graph in place of the word graph thus system performance can be improved. Furthermore, continuous speech recognition error rate can be reduced by using the phone graph, which provides a more accurate graph for continuous speech recognition.

FIG. 2 is an exemplary digital processing system 200 in which the present invention can be practiced. The speech processing and speech recognition techniques described herein can be implemented and utilized within digital processing system 200, which can represent a general purpose computer, portable computer, hand-held electronic device, or other like device. The components of digital processing system 200 are exemplary in which one or more components can be omitted or added. For example, one or more memory devices can be utilized for digital processing system 200. Referring to FIG. 2, digital processing system 200 includes a central processing unit 202 and a signal processor 203 coupled to a display circuit 205, main memory 204, static memory 206, and mass storage device 207 via bus 201. Digital processing system 200 can also be coupled to a display 221, keypad input 222, cursor control 223, hard copy device 224, input/output (I/O) devices 225, and audio/speech device 226 via bus 201.

Bus 201 is a standard system bus for communicating information and signals. CPU 202 and signal processor 203 are processing units for digital processing system 200. CPU 202 or signal processor 203 or both can be used to process information and/or signals for digital processing system 200. Signal processor 203 can be used to process speech or audio information and signals for speech processing and recognition. Alternatively, CPU 202 can be used to process speech or audio information and signals for speech processing or recognition. CPU 202 includes a control unit 231, an arithmetic logic unit (ALU) 232, and several registers 233, which are used to process information and signals. Signal processor 203 can also include similar components as CPU 202.

Main memory 204 can be, e.g., a random access memory (RAM) or some other dynamic storage device, for storing information or instructions (program code), which are used by CPU 202 or signal processor 203. For example, main memory 204 may store speech or audio information and instructions to be executed by signal processor 203 to process the speech or audio information. Main memory 204 may also store

temporary variables or other intermediate information during execution of instructions by CPU 202 or signal processor 203. Static memory 206, can be, e.g., a read only memory (ROM) and/or other static storage devices, for storing information or instructions, which can also be used by CPU 202 or signal processor 203. Mass storage device 207 can be, e.g., a hard or floppy disk drive or optical disk drive, for storing information or instructions for digital processing system 200.

Display 221 can be, e.g., a cathode ray tube (CRT) or liquid crystal display (LCD). Display device 221 displays information or graphics to a user. Digital processing system 201 can interface with display 221 via display circuit 205. Keypad input 222 is a alphanumeric input device for communicating information and command selections to digital processing system 200. Cursor control 223 can be, e.g., a mouse, a trackball, or cursor direction keys, for controlling movement of an object on display 221. Hard copy device 224 can be, e.g., a laser printer, for printing information on paper, film, or some other like medium. A number of input/output devices 225 can be coupled to digital processing system 200. For example, a speaker can be coupled to digital processing system 200. Audio/speech device 226 can be, e.g., a microphone with an analog to digital converter, for capturing sounds of speech in an analog form and transforming the sounds into digital form, which can be used by signal processor 203 and/or CPU 202, for speech processing or recognition.

The speech processing techniques described herein can be implemented by executing code or instructions stored in a machine-readable medium coupled with or contained in digital processing system 200. For example, CPU 202 or signal processor 203 can execute the code or instructions stored in main memory 204 to process speech or to recognize continuous speech. The machine-readable medium can include a mechanism that provides (i.e., stores and/or transmits) information in a form readable by a machine such as computer or digital processing device. For example, a machine-readable medium may include a read only memory (ROM), random access memory (RAM), magnetic disk storage media, optical storage media, flash memory devices. The code or instructions can be represented by carrier wave signals, infrared signals, digital signals, and by other like signals.

FIGS. 3A through 3C illustrate exemplary phone graphs used for practicing the present invention. For example, to overcome the disadvantages of using a word graph, the word graph is expanded into a phone graph such as that shown in FIG. 3A.

Next, a cross-word expansion is implemented on the expanded phone graph to generate a phone graph based on a cross-word acoustical model or a clustered cross-word acoustical model such as that shown in FIG. 3B or FIG. 3C, respectively. The phone graphs as illustrated in FIGS. 3B and 3C consume less memory than the corresponding word graph and reduce greatly the decoding computation costs as will be explained below.

FIG. 3A is an exemplary phone graph 300. To obtain graph 300, a word graph based on a within word model is expanded. For example, the word graph as shown in FIG. 1A can be expanded to generate phone graph 300. Referring to FIG. 3A, phone graph 300 includes a "lattice" having a plurality of phone node sets 301 ( $A_1$  to  $A_5$ ) through 306 ( $F_1$  to  $F_5$ ). For example, phone node set 301 includes a plurality of phone nodes  $A_1$  to  $A_5$ , which represent the various types of phones comprising the word  $A_5$ . The word  $A_5$ , however, can include one or more phones. Thus, the phones in word node  $A_5$  are expanded into individual phone nodes  $A_5$  to  $A_5$ .

FIG. 3B is an exemplary phone graph 350 based on a cross-word acoustical model (i.e., a model in which a word is related to a word before and after). For example, a cross-word acoustical model is implemented on phone graph 300 and expanded into phone graph 350. Referring to FIG. 3B, phone graph 350 includes a "lattice" having a plurality of phone node sets 301 ( $A_2$  to  $A_4$ ), 302 ( $B_2$  to  $B_4$ ), 303 ( $C_2$  to  $C_4$ ), 304 ( $D_2$  to  $D_5$ ), 305 ( $E_2$  to  $E_5$ ), and 306 ( $E_2$  to  $E_5$ ).

Furthermore, a new phone node set 311 (( $B_5$ ) $A_1$ ( $A_2$ )) corresponding to word A is created. In addition, a new phone node set 310 (( $B_4$ ) $B_5$ ( $A_1$ )) corresponding to word B is created. Three edges of the lattice [ $B_4$ —( $B_4$ ) $B_5$ ( $A_1$ ); ( $B_4$ ) $B_5$ ( $A_1$ )—( $B_5$ ) $A_1$ ( $A_2$ ); and ( $B_5$ ) $A_1$ ( $A_2$ )— $A_2$ ] are also created. For example, the phone nodes 310 through 319 are created. Two obsolete phone nodes  $B_5$  and  $A_1$  are deleted. Three obsolete edges [ $B_4$ — $B_5$ ;  $B_5$ — $A_1$ ; and  $A_1$ — $A_2$ ] are also deleted. Furthermore, necessary information in the phone node sets is transferred into phone end nodes.

New edges are created and obsolete edges are deleted for all left and right contexts of phone node sets 301 through 306 in which only 8 phone nodes or "phonemes" are copied for representing the word A. The 8 phonemes are  $(B_5)A_1(A_2)$ ;  $(C_5)A_1(A_2)$ ;  $A_2$ ,  $A_3$ ,  $A_4$ ,  $A_5$ ,  $A_5$ ,  $A_5$ ,  $A_5$ , and  $A_5$ ,  $A_5$ , and  $A_5$ ,  $A_5$ 

A, by expanding the word graph into the phone graph, graph space and reduced computation time can be achieved.

FIG. 3C is an exemplary phone graph 375 based on a clustered cross-word acoustical model. For example, if the acoustical model is a clustered model, a plurality of phones nodes of the same cluster can be merged. That is, referring to FIG. 8 (part B) and FIG. 3C, if  $(A_4)A_5(D_1)$  and  $(A_4)A_5(E_1)$  are of the same cluster, these phone nodes can merge into one phone node  $(A_4)A_5(X_1)$  and the two edges into one edge  $[A_4-(A_4)A_5(X_1)]$ . Thus, the phone graph size can be further reduced.

FIG. 4 is a block diagram of a speech recognition system 400 according to one embodiment. The speech recognition system can be implemented, e.g., in digital processing system 200 as described in FIG. 2. Referring to FIG. 4, block diagram 400 includes an audio/speech device 404 for receiving and processing speech signals 402 and a signal processor 406 for processing speech signals 402 from audio/speech device 404 using acoustical models 408 to provide a recognized output 410.

Audio/speech device 404 is an audio and speech receiving mechanism. For example, audio/speech device 404 can be a microphone. A user can speak into audio/speech device 404 in which acoustics (i.e., analog signals) are provided to audio/speech device 403. Audio/speech device 404 can convert the analog signals (i.e., speech signals 402) into digital form.

For one implementation, speech signals 402 can be used to train the speech recognition system 400 for a particular task. For example, speech signals 402 can provide words, phrases, or sentences related to weather in generating word graphs to recognize continuous speech related to the weather. For another implementation, speech signals 402 can represent unknown speech signals in which signal processor 406 processes the unknown speech signals to recognize words, phrases, or sentences.

Signal processor 406 is a processing device, which can be used during a training process or a continuous speech recognition process. During a training process, signal processor 406 can generate an acoustical model by deploying audio data from device 404 and by deploying a general acoustical model training algorithm. During a decoding process, signal processor 406 generates word graphs to maintain relationships between the words of speech signal 402 using acoustical models. For one implementation, signal processor 406 can use a within word acoustical model to build a word graph. Furthermore, to obtain a more accurate word graph, signal

processor 406 can use a cross-word acoustical model to expand on the word graph based on the within word model.

The acoustical models can be based on hidden markov models (HMM). The HMM model a letter, a word, or a sentence as a series of transitions from state to state along arcs. Each arc has an associated probability, which gives the probability of the transition from one state to the next at an end of an observation frame. Thus, an unknown speech signal can be represented by ordered states with a given probability.

Also, during the training process, to overcome the disadvantages of using a word graph, signal processor 406 can also expand on the word graph based on the crossword acoustical model to generate phone graph such as those illustrated in FIGS. 3B and 3C. The phone graphs can be used by signal processor 406 during the continuous speech recognition process to obtain a more optimum and accurate continuous speech recognition.

During a continuous speech recognition process, signal processor 406 receives. unknown speech signals 402. Signal processor 406 searches the phone graph, which were generated during the training process, to match recognized words, phrases, or sentences with unknown speech signals 402. Signal processor 406 outputs recognized words, phrases, or sentences as recognized output 410. For example, signal processor 403 can output a text file as recognized output 410.

Signal processor 406 can decode the unknown speech signals 402 using slightly modified standard dynamic programming and beam searching techniques, which are adapted for phone graphs as described in **FIGS. 3A** to **3C**. For example, special phoneend nodes will be used to record the word-end information (though no word node exists). Furthermore, the decoding process will also record the language model information if it exists. Alternatively, signal processor 406 can use known token propagation processes (using tokens to remember a path through nodes) to search a phone graph.

FIG. 5 is a flow chart illustrating a speech recognition operation 500 according to one embodiment. The system 200 as shown in FIG. 2 or the system 400 as shown in FIG. 4 can be used to perform operation 500. For purposes of explanation, operation 500 begins at operation 502.

Referring to **FIG. 5**, initially, at operation 502, an unknown speech signal is received (e.g., by audio/speech device 304). The unknown speech signal can be used

to train the speech recognition system for a particular task or application. For example, the particular task or application may be related to reporting "weather" in which the unknown speech signals include words, phrases, or sentences related to describing the weather.

At operation 504, a word graph is generated based on a particular task or application. Alternatively, a word graph can be generated based on information extracted from the unknown speech signal. The word graph can be a within word acoustical model. Furthermore, the word graph based on the within word acoustical model can be expanded into a word graph based on a cross-word acoustical model. Thus, for purposes of explanation, the word graph is based on the cross-word acoustical model.

At operation 506, the word graph based on the cross-word acoustical model is expanded into a phone graph (e.g., a phone graph as shown in FIGS. 3B and 3C). That is, the phone graph can be based on a cross-word acoustical model or on a clustered cross-word acoustical model. The process of expanding the word graph based on the cross-word acoustical model will be explained in further detail with regards to FIG. 7.

At operation 508, the unknown speech signal is recognized based on the phone graph generated in operation 506. The unknown speech signal is used to recognize continuous speech for the particular task or application. The techniques to recognize words, phrases, or sentences using a word graph can be applied to recognizing words, phrases, or sentences using the phone graph. Thus, the phone graph is used to recognize words, phrases, or sentences in the unknown speech signal.

FIG. 6 is a functional flow diagram illustrating a speech recognition process 600 according to one embodiment. The system 200 as shown in FIG. 2 or the system 400 as shown in FIG. 4 can be used to perform process 600. For purposes of explanation, process 600 begins a functional block 602.

Referring to **FIG. 6**, initially, at functional blocks 602 and 604, a word graph is generated by a dialog system. A dialog system generates a word graph for specific types of speech, e.g., speech related to a weather report, a ticket order, and etc. Generally, the dialog system generates the word graph using finite state grammar (FSG) compiler by signal processor 203. The FSG can be written by the user according to the application (such as a weather report, plane ticket inquire, etc.) or other like task

requirement. The signal processor 203 can then generate the word graph by compiling the FSG into a word graph format.

Alternatively, a word graph can be generated by a dictation system. A dictation system generates a word graph in terms of the unknown speech signal, that is, the word graph represents a middle recognition result by the dictation system based on the unknown speech signal. The dialog system or dictation system can generate a word graph based on either a within word acoustical model or a cross-word acoustical model.

At functional block 606, the word graph (preferably a word graph based on a cross-word acoustical model) is expanded into a phone graph. The word graph is expanded into the phone graph by using the techniques, which will be described with respect to **FIG. 7** below.

For example, signal processor 203 can be used to expand the word graph into a phone graph. That is, a word node of a word graph is expanded into a plurality of phone nodes, i.e., a first phone graph. Next, a cross-word expansion operation is performed on the first phone graph to generate a second phone graph. The second phone graph contains copies of only needed phone nodes as opposed to making multiple copies of a word node.

At functional blocks 610 and 612, a speech feature and an acoustical model can be provided to a signal processor 203 to decode an unknown speech signal. At function block 608, the signal processor 203 decodes the unknown speech signal using the new phone graph and/or the speech feature and acoustic model. The signal processor 203 can use a decoding process such as that described for signal processor 406 in FIG. 4.

At function block 614, signal processor 203 outputs a decoding result. The decoding result provides recognized output such as a text file. The text file can be stored in a memory or further processed for other applications.

FIG. 7 is a flow chart illustrating an exemplary operation 700 to expand a word graph to a phone graph. The system 200 as shown in FIG. 2 or the system 400 as shown in FIG. 4 can be used to perform process 700. The operation 700 is depicted in two parts, which are Part A (operations 702 to 710) and Part B (operations 712 to 716).

Part A of operation 700 relates to expanding a phone graph based on a cross-word acoustical model. Part B of operation 700 relates to merging a plurality of nodes

of the same cluster for the phone graph generated in Part A. For purposes of explanation, process 700 begins at operation 702.

Referring to **FIG. 7**, at operation 702, a word graph is expanded into a phone graph such as that shown, e.g., in **FIG. 3A**. For example, a word A having, e.g., 5 phones, is expanded into 5 phone nodes ( word A  $\rightarrow$  phone nodes -  $A_1$ ,  $A_2$ ,  $A_3$ ,  $A_4$ ,  $A_5$ ).

At operation 704, the phone graph generated in operation 702 is expanded based on a cross-word acoustical model such as that shown, e.g., in **FIG. 3B**. The phone graph is expanded by generating new phone nodes with reference to each word's left context and right context (e.g. generating  $(B_5)A_1(A_2)$  and  $(B_4)B_5(A_1)$ ).

At block 706, new edges are generated for the new phone nodes (e.g., generating edges:  $B_4$ -( $B_4$ ) $B_5$ ( $A_1$ ), ( $B_4$ ) $B_5$ ( $A_1$ )-( $B_5$ ) $A_1$ ( $A_2$ ) and ( $B_5$ ) $A_1$ ( $A_2$ )- $A_2$ . For example, using edge  $B_5$   $\rightarrow A_1$  as an example (that is, from phone node  $B_5$  to  $A_1$ ), cross-word phone node for  $B_5$  and  $A_1$ , respectively, is duplicated according a cross-word acoustical model. For the new phone corresponding to  $B_5$ , it is labeled as "( $B_4$ ) $B_5$ ( $A_1$ )". For the new phone node corresponding to  $A_1$ , it is labeled as ( $B_5$ ) $A_1$ ( $A_2$ ). Three new edges are also generated, which connect  $B_4$ , ( $B_4$ ) $B_5$ ( $A_1$ ), ( $B_5$ ) $A_1$ ( $A_2$ ),  $A_2$ , respectively.

At operations 708 and 710, obsolete phone nodes and corresponding obsolete edges are removed. For example, phone nodes  $B_5$  and  $A_1$  are removed and edges  $B_4 \rightarrow B_5, B_5 \rightarrow A_1, \rightarrow A_1 \rightarrow A_2$  are removed. The operations for Part A are repeated for all word nodes (i.e., unit words) of the word graph are completed.

At block 712, necessary information from word-end nodes are transferred to phone-end nodes. For example,  $(A_4)A_5(D_1)$ ,  $(A_4)A_5(E_1)$ ,  $(A_4)A_5(F_1)$  are phone-end nodes. are transferred (e.g., information in A5 is transferred to  $(A_4)A_5(D_1)$ ,  $(A_4)A_5(E_1)$ ,  $(A_4)A_5(F_1)$ ).

The thusly-generated phone graph reduces the graph size of its corresponding word graph, which required copying multiple copies of a word node. Here, necessary phone nodes are copied thereby reducing system memory use and decoding computation cost.

The following operations 714 and 716 related to Part B are optional. That is, if the acoustical model is a clustered mode, the net size can be further compressed effectively based on the clustering rules. If the edge number starting from one word node is large, a higher compression rate will be obtained.

At operation 714, a plurality nodes of the same cluster are merged (e.g., if  $(A_4)A_5(D_1)$  and  $A_4(A_5)E_1$  are in the same cluster merge the cluster into  $A_4(A_5)X_1$  as shown in **FIG. 3C**).

At operation 716, edges of the same cluster node are merged into one edge (e.g.,

merging  $A_4 - A_4 A_5 D_1$  to  $A_4 - A_4 A_5 X_1$ ). By the following operations 714 and 716, the phone graph can be further reduced.

The thusly-generated phone graph reduces further the graph size of its corresponding word graph, which required copying multiple copies of a word node. Thus, the expanded phone graph of Part B provides further improvements to recognizing continuous speech.

Thus, a method and system for expanding a word graph to a phone graph based on a cross-word acoustical model to improve continuous speech recognition have been described. In the foregoing specification, the invention has been described with reference to specific exemplary embodiments thereof. It will, however, be evident that various modifications and changes may be made thereto without departing from the broader spirit and scope of the invention as set forth in the appended claims. The specification and drawings are, accordingly, to be regarded in an illustrative sense rather than a restrictive sense.

# **CLAIMS**

What is claimed is:

1. A speech recognition method, the method comprising:

receiving an unknown speech signal;

generating a word graph based on an application task or based on information extracted from the unknown speech signal;

expanding the word graph into a phone graph; and recognizing the unknown speech signal using the phone graph.

- 2. The method of claim 1, wherein expanding the word graph includes expanding the word graph into the phone graph based on a cross-word acoustical model.
- 3. The method of claim 1, wherein expanding the word graph includes: expanding a word node of the word graph into a plurality of phone nodes for the phone graph; and

removing unnecessary phone nodes from the phone graph.

- 4. The method of claim 1, wherein recognizing the unknown speech signal includes recognizing known words, phrases, or sentences in the second speech signal using the phone graph.
- 5. The method of claim 1, wherein recognizing the unknown speech signal includes recognizing the unknown speech signal as continuous speech.
- A speech recognition system comprising:

an input to receive an unknown speech signal; and

a processor to generate a word graph based on an application task or based on information extracted from the unknown speech signal, to expand the word graph into a phone graph, and to recognize the unknown speech signal using the phone graph.

7. The system of claim 6, wherein the processor is to expand the word graph into the phone graph based on a cross-word acoustical model.

- 8. The system of claim 6, wherein the processor is to expand a word node of the word graph into a plurality of phone nodes for the phone graph and to remove unnecessary phone nodes from the phone graph.
- 9. The system of claim 6, wherein the processor is to recognize known words, phrases, or sentences in the unknown speech signal.
- 10. The method of claim 1, wherein the processor is to recognize the unknown speech signal as continuous speech.
- 11. A machine-readable medium that provides instructions, which if executed by a processor, cause the processor to perform the operations comprising:

receiving an unknown speech signal;

generating a word graph based on an application task or based on information extracted from the unknown speech signal;

expanding the word graph into a phone graph; and recognizing the unknown speech signal using the phone graph.

12. The machine-readable medium of claim 11, further providing instructions, which if executed by a processor, cause the processor to perform the operations of:

expanding the word graph into the phone graph based on a cross-word acoustical model.

13. The machine-readable medium of claim 11, further providing instructions, which if executed by a processor, cause the processor to perform the operations of:

expanding a word node of the word graph into a plurality of phone nodes for the phone graph; and

removing unnecessary phone nodes from the phone graph.

14. The machine-readable medium of claim 11, further providing instructions, which if executed by a processor, cause the processor to perform the operations of:

recognizing known words, phrases, or sentences in the unknown speech signal using the phone graph.

- 15. The machine-readable medium of claim 11, further providing instructions, which if executed by a processor, cause the processor to perform the operations of: recognizing the unknown speech signal as continuous speech.
- 16. A speech processing method comprising: expanding a word graph into a phone graph, the word graph including a plurality of word nodes, and the phone graph including a plurality of phone nodes.
- 17. The method of claim 16, wherein the expanding the word graph into the phone graph includes expanding the word graph into the phone graph based on a cross-word acoustical model or a clustered cross-word acoustical model.
- 18. The method of claim 17, wherein expanding the word graph into the phone graph includes:

expanding the word graph into an intermediate phone graph based on a within word acoustical model; and

expanding the intermediate phone graph into the phone graph based on the cross-word acoustical model or the clustered cross-word acoustical model.

19. A speech processing system comprising:

a memory to store a word graph, the word graph including a plurality of word nodes; and

a processor to expand the word graph into a phone graph, the phone graph including a plurality of phone nodes.

20. The system of claim 19, wherein the processor is to expand the word graph into the phone graph based on a cross-word acoustical model or a clustered cross-word acoustical model.

- 21. The system of claim 20, wherein the processor is to expand the word graph into an intermediate phone graph based on a within word acoustical model and is to expand the intermediate phone graph into the phone graph based on the cross-word acoustical model or the clustered cross-word acoustical model.
- 22. A machine-readable medium that provides instructions, which if executed by a processor, cause the processor to perform the operations comprising:

expanding a word graph into a phone graph, the word graph including a plurality of word nodes, and the phone graph including a plurality of phone nodes.

23. The machine-readable medium of claim 22, further providing instructions, which if executed by a processor, cause the processor to perform the operations of:

expanding the word graph into the phone graph based on a cross-word acoustical model or a clustered cross-word acoustical model.

24. The machine-readable medium of claim 23, further providing instructions, which if executed by a processor, cause the processor to perform the operations of:

expanding the word graph into an intermediate phone graph based on a within word acoustical model; and

expanding the intermediate phone graph into the phone graph based on the cross-word acoustical model or the clustered cross-word acoustical model.

25. A speech processing method comprising:

expanding a word graph into a first phone graph based on a within word acoustical model;

generating a second phone graph using the first phone graph based on a crossword acoustical model;

generating new edges for the second phone graph;

removing obsolete phone nodes and edges from the second phone graph from the second phone graph; and

transferring necessary information from word end nodes to phone end nodes in the second phone graph.

- 26. The speech processing method of claim 25, further comprising: merging a plurality of nodes of a same cluster in the second phone graph; and merging edges responding the same cluster into one edge.
- 27. The speech processing method of claim 25, wherein the expanding the word graph into the first phone graph includes expanding the word graph into the first phone graph for all word nodes within the word graph.
- 28. A machine-readable medium that provides instructions, which if executed by a processor, cause the processor to perform operations comprising:

expanding a word graph into a first phone graph based on a within word acoustical model;

generating a second phone graph using the first phone graph based on a crossword acoustical model;

generating new edges for the second phone graph;

removing obsolete phone nodes and edges from the second phone graph from the second phone graph; and

transferring necessary information from word end nodes to phone end nodes in the second phone graph.

- 29. The machine-readable medium of claim 28, further comprising instructions, which if executed by a process, cause the processor to perform the operations of: merging a plurality of nodes of a same cluster in the second phone graph; and merging edges responding the same cluster into one edge.
- 30. The machine-readable medium of claim 28, further comprising instructions, which if executed by a process, cause the processor to perform the operations of: expanding the word graph into the first phone graph for all word nodes within the word graph.

# ABSTRACT OF THE DISCLOSURE

A method and system that expands a word graph to a phone graph. An unknown speech signal is received. A word graph is generated based on an application task or based on information extracted from the unknown speech signal. The word graph is expanded into a phone graph. The unknown speech signal is recognized using the phone graph. The phone graph can be based on a cross-word acoustical model to improve continuous speech recognition. By expanding a word graph into a phone graph, the phone graph can consume less memory than a word graph and can reduce greatly the computation cost in the decoding process than that of the word graph thus improving system performance. Furthermore, continuous speech recognition error rate can be reduced by using the phone graph, which provides a more accurate graph for continuous speech recognition.

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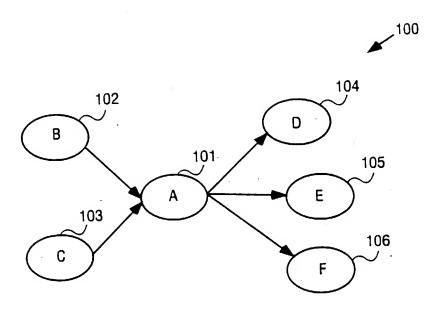


FIG. 1A (PRIOR ART)

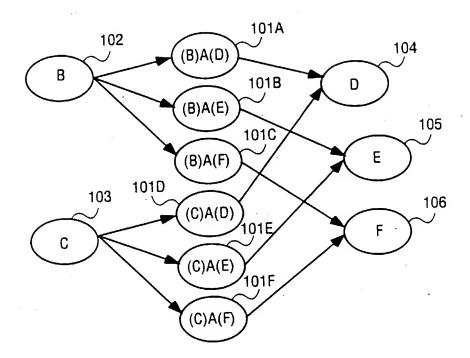
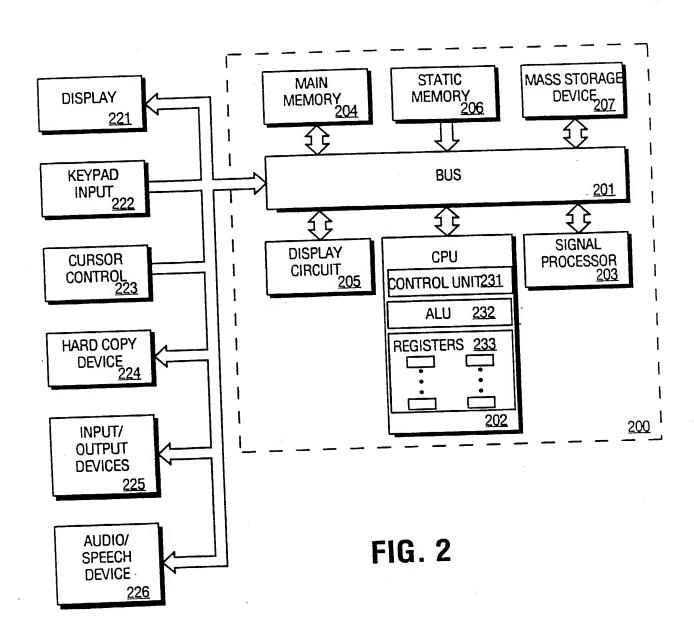


FIG. 1B (PRIOR ART)



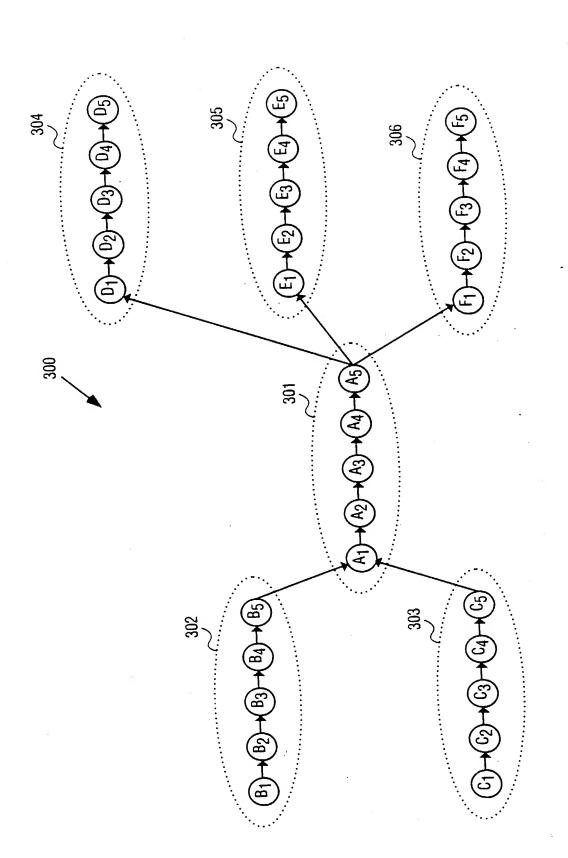
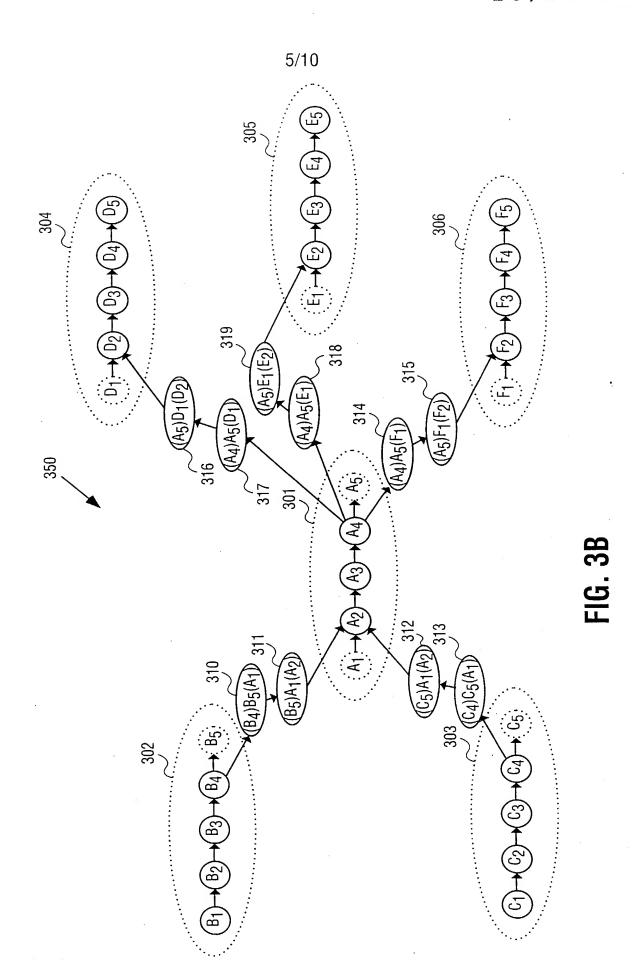


FIG. 3A



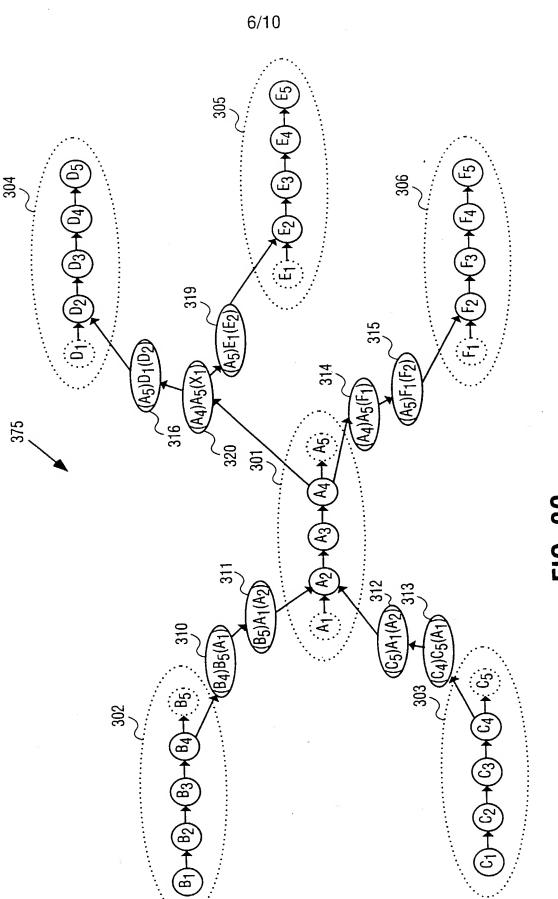


FIG. 30

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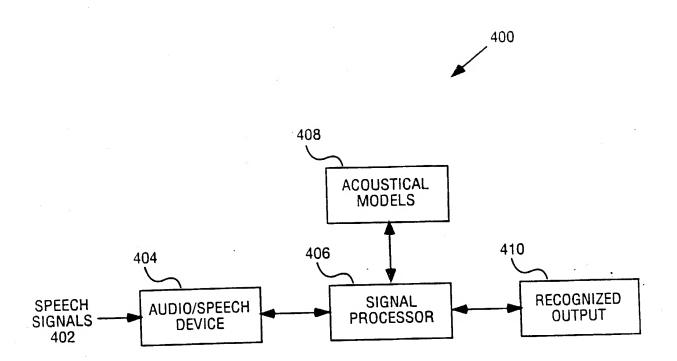


FIG. 4

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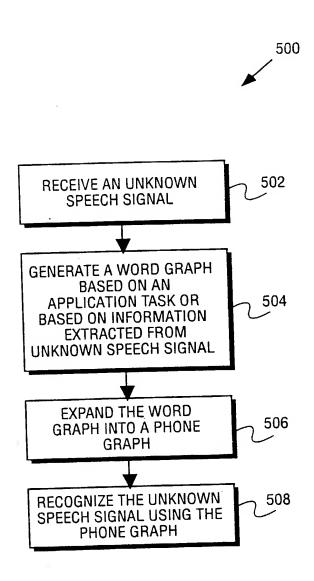


FIG. 5

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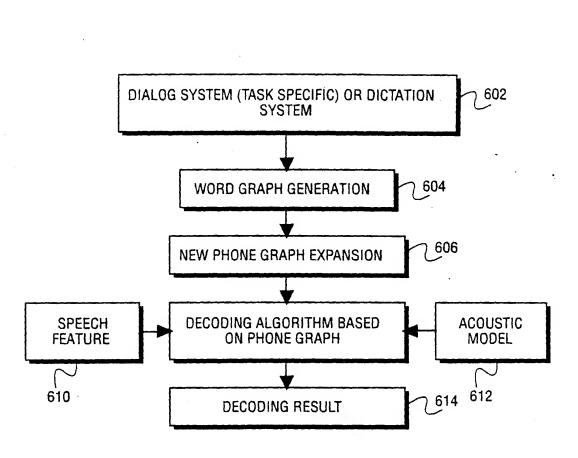


FIG. 6

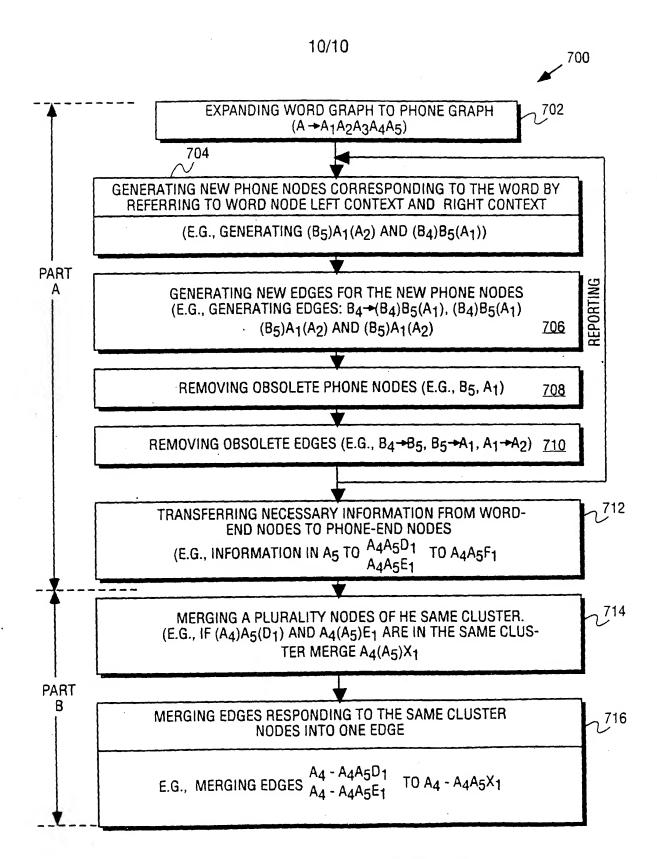


FIG. 7



Attorney's Docket No.: 42390.P9267

**PATENT** 

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (FOR INTEL CORPORATION PATENT APPLICATIONS)

As a below named inventor, I h	ereby declare that:			
My residence, post office addre	ess and citizenship	are as stated below, next to my	name.	
first, and joint inventor (if plural for which a patent is sought on	names are listed the invention entitl GRAPH BASED C	(if only one name is listed below below) of the subject matter whic ed METHOD AND SYSTEM FO ON A CROSS-WORD ACOUST! ON, the specification of which	th is claime	d and DING A
is attached her  X was filed on 09 United or PCT and was	9/28/2000 as I States Application I International App	Number lication Number PCT/CN00/002 M/DD/YYYY)	92	
specification, including the clair know and do not believe that the America before my invention the country before my invention the and do not believe that the clair America more than one year probeen patented or made the subapplication in any country foreign.	m(s), as amended ne claimed invention ereof, or patented ereof or more than med invention was rior to this application to the United Stagns more than two	In the contents of the above-ider by any amendment referred to a n was ever known or used in the or described in any printed public one year prior to this application in public use or on sale in the Lon, nor do I know or believe that's certificate issued before the dates of America on an application elve months (for a utility patent and application).	above. I do a United State lication in a a. I do not le Inited State t the inventi- ate of this an filed by n	ates of ny know is of ion has
I acknowledge the duty to discl defined in Title 37, Code of Fed		known to me to be material to p Section 1.56.	patentability	as
foreign application(s) for paten	t or inventor's certi ent or inventor's cer	35, United States Code, Section ficate listed below and have also difficate having a filing date before the control of the con	o identified	below
Prior Foreign Application(s)	olumed.		Priorit <u>Claim</u>	
(Number)	(Country)	(Foreign Filing Date - MM/DD/YYYY)	Yes	No
I hereby claim the benefit unde provisional application(s) listed		States Code, Section 119(e) of a	iny United S	States
Application Number	(Filing Date	- MM/DD/YYYY)		

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States

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	Full Name of Second/J	laint Inventor Zhiwe	ei Un			,	}
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INTEL CORPORATION Rev. 05/09/02 (D3 INTEL)

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Beaverton OR 97006

application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Filing Date - MM/DD/YYYY) Application Number Status - patented, pending, abandoned I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. Send correspondence to John P. Ward, Esq., BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct telephone calls to John P. Ward, Esq., (408) 720-8300. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United (1997) States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. application or any patent issued thereon. Full Name of Sole/First Inventor Qingwei Zhao Inventor's Signature \_\_\_\_ Date 1 Residence Beijing, PRC Citizenship People's Republic of China Post Office Address Room 205, Building 938, ZhongGuanCun, HaiDian District Beijing 100086 People's Republic of China Full Name of Second/Joint Inventor Zhiwei Lin Frankline Date Jeh 8, 300 Residence Singapore Citizenship Singapore Post Office Address BLK518, Jurong West St 52, #11-149 Singapore 640518 Singapore Full Name of Third/Joint Inventor Yonghong Yan Inventor's Signature \_\_\_ Residence Beaverton, OR Citizenship People's Republic of China Post Office Address 20756 NW AmberView Lane Beaverton OR 97006 INTEL CORPORATION Rev. 05/09/02 (D3 INTEL) -2-

application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all Information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national

Application Number	(Filing Date – MM/DD/YY)	YY) Status patented, pending, abandoned
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### APPENDIX A

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#### APPENDIX B

#### Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is award of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.